



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
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9-926828

EXAMINER

ART UNIT	PAPER NUMBER
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DATE MAILED:

INTERVIEW SUMMARY

All participants (applicant, applicant's representative, PTO personnel):

(1) David Butner (3) _____

(2) Kristin Gruenberg (4) _____

Date of Interview 10/1/03

Type: ☐ Telephonic ☐ Personal (copy is given to ☐ applicant ☒ applicant's representative).

Exhibit shown or demonstration conducted: ☐ Yes ☒ No If yes, brief description: _____

Agreement ☒ was reached. ☐ was not reached.

Claim(s) discussed: all

Identification of prior art discussed: all

Description of the general nature of what was agreed to if an agreement was reached, or any other comments: will submit

translation to overcome J'899; will limit claim to require
sulfonic acid ion exchange resin purification of BPA to overcome
Meurer who doesn't employ exchange resin after distillation, which
will try to accounts for absence of some NMR peaks; will try to
submit Japanese priority documents

(A fuller description, if necessary, and a copy of the amendments, if available, which the examiner agreed would render the claims allowable must be attached. Also, where no copy of the amendments which would render the claims allowable is available, a summary thereof must be attached.)

1. ☒ It is not necessary for applicant to provide a separate record of the substance of the interview.

Unless the paragraph above has been checked to indicate to the contrary. A FORMAL WRITTEN RESPONSE TO THE LAST OFFICE ACTION IS NOT WAIVED AND MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a response to the last Office action has been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW.

2. ☐ Since the Examiner's interview summary above (including any attachments) reflects a complete response to each of the objections, rejections and requirements that may be present in the last Office action, and since the claims are now allowable, this completed form is considered to fulfill the response requirements of the last Office action. Applicant is not relieved from providing a separate record of the interview unless box 1 above is also checked.

Examiner Note: You must sign this form unless it is an attachment to another form.

Manual of Patent Interviewing Procedures Issued by the Patent and Trademark Office, Department of Commerce

Interviews often are held in the presence of several offices or individuals, with the applicant, agent, attorney, or other party, present in the Office, which is present in a position of observation. The interview is recorded in the following manner:

§ 1204 Interviews

(a) In every instance where a formal interview is held, a copy of an interview summary, a summary of the substance of the reasons proffered at the interview, and a copy of the interview summary, as required by the applicant, shall be made and shall be made available for inspection in the Office as specified in §§ 1.101, 1.102, and 1.103.

(b) The summary is to be prepared in writing, as required by the rules of the Office. The Office should be advised in writing. The person's attendance at the interview or the summary is required at the interview and the summary shall be made. The action of the Patent and Trademark Office will be based exclusively on the evidence and on the facts of the interview, and on any alleged and possible discrepancy in the evidence in relation to which there is disagreement or dispute.

The action of the Patent and Trademark Office is based on the evidence and on the facts of the interview, and on any alleged and possible discrepancy in the evidence in relation to which there is disagreement or dispute.

(c) It is the responsibility of the applicant or of a lawyer or agent to make the substance of an interview of record in the application file, unless the examiner indicates that the applicant will do so. It is the examiner's responsibility to make that a copy is made of the interview and to correct material errors, which bear directly on the question of patentability.

Examiners must complete a Form for each interview held after January 1, 1978 where a matter of substance has been discussed during the interview by describing the appropriate items in the blanks in neat handwriting in form using a ball point pen. Discussions regarding only procedural matters, directed solely to matters of requirements for a patent interview, as otherwise provided for in Section 012.01 of the Manual of Patent Interviewing Procedures, or pointing out typographical errors or inadvertently copied in Office editions of the file, are excluded from the interview recordation procedures herein.

The Interview Summary Form shall be given in appropriate paper format, placed in the right hand portion of the file, and listed on the "Contents" list on the file wrapper. The check and serial register cards need not be updated to reflect interviews. In a personal interview, the duplicate copy of the Form is removed and given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephonic interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the telephonic interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Serial Number of the application
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (personal or telephonic)
- Name of participant(s) (applicant, attorney or agent, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the claims discussed
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). (Agreements as to allowability are tentative and do not resist further action by the examiner to the contrary.)
- The signature of the examiner who conducted the interview
- Names of other Patent and Trademark Office personnel present.

The Form also contains a statement reminding the applicant of his responsibility to record the substance of the interview.

It is desirable that the examiner orally remind the applicant of his obligation to record the substance of the interview in each case unless both applicant and examiner agree that the examiner will record same. Where the examiner agrees to record the substance of the interview, or when it is adequately recorded on the Form or in an attachment to the Form, the examiner should check a box at the bottom of the Form informing the applicant that he need not supplement the Form by submitting a separate record of the substance of the interview.

It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview:

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted;
- 2) An identification of the claims discussed;
- 3) An identification of specific prior art discussed;
- 4) An identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the examiner;
- 5) A brief identification of the general nature of the principal arguments presented to the examiner. The identification of arguments need not be lengthy or elaborate. A summary or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature of the principal arguments and/or in the summary can be understood in the context of the application. Of course, the applicant may desire to summarize and identify those arguments which he feels were of importance to the examiner;
- 6) A general indication of any other pertinent material discussed; and
- 7) If appropriate, the general nature of the substance of the interview already described in the Interview Summary Form completed by the examiner.

Where there are omissions in recording and/or a record of the substance of an interview is not complete or accurate, the examiner will give the applicant two months from the date of the next official communication to supplement the record. If the applicant fails to do so, the examiner will give the applicant two months from the date of the next official communication to supplement the record. If the applicant fails to do so, the examiner will give the applicant two months from the date of the next official communication to supplement the record. If the applicant fails to do so, the examiner will give the applicant two months from the date of the next official communication to supplement the record.

Examiner's Check for Accuracy

The applicant's summary of the interview should be carefully checked to determine the accuracy of the record of the interview. If the record is not complete or accurate, the examiner will give the applicant two months from the date of the next official communication to supplement the record. If the applicant fails to do so, the examiner will give the applicant two months from the date of the next official communication to supplement the record. If the applicant fails to do so, the examiner will give the applicant two months from the date of the next official communication to supplement the record.